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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Application Number	Not yet assigned				
Filing Date	August 30, 2001				
First Named Inventor	Shai Ben-Levy et al.				
Group Art Unit	Not yet assigned				
Examiner Name	Not yet assigned				
Attorney Docket Number	3524/30				
Sheet	1	of	3		

11000 U.S. PTO
 09/043442
 08/30/01

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
↓		6,223,167		Alaia et al.	04/24/2001	
		6,161,099		Harrington et al.	12/12/2000	
		6,151,589		Aggarwal et al.	11/21/2000	
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		5,809,483		Broka et al.	09/15/1998	
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↓		5,724,524		Hunt et al.	03/03/1998	

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Examiner Initials ²	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
079			WO 00/55775		Van Roon	09/21/2000		
074			GB 2258061A		Jia-Ming Shyu	01/27/1993		

Examiner Signature		Date Considered	2/16/07
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	3
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Compleat if Known

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First Named Inventor	Shai Ben-Levy et al.
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Attorney Docket Number	3524/30

U.S. PATENT DOCUMENTS[illegible]

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	Not yet assigned
				Filing Date	August 30, 2001
				First Named Inventor	Shai Ben-Levy et al.
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
				Attorney Docket Number	3524/30
Sheet	3	of	3		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
0.18	.	"eCommerce in the U.S. Fixed Income Markets", "The 1999 Review of Electronic Transaction Systems", The Bond Market Association, November 1999. (36 PP)	
	.	"Getting the Word Out to the Right Muni Dealers", Bloomberg, October 1996, page 85, 87	
	.	"Government Bond Trading Made Easy", Bloomberg, June 1999, pages 86-88	
	.	"Bloomberg Financial Markets Commodities News", Bloomberg L.P., 8/1/98 (11 pp)	
	.	"And now-electronic bidding" Grant's Municipal Bond Issuer, Vol. 2, No. 14, July 16, 1998 (4 pp)	
	.	"Innovative Trading in the Nasdaq Marketplace" User Manual, Bloomberg Tradebook Europe Ltd., June 1999	
	.	"Real Bids. Institutional Investors Begin Using Electronic Bond Trading", The Bank of New York Company, Inc. April 8, 1998 (1 pg)	
	.	"Real Bids. The Bank of New York to Acquire Automated Bond Trading System", The Bank of New York Company, Inc. April 19, 1997	
	.	"The Automation of Capital Markets" Arnold Picot, Christine Bortenlaenger and Heiner Roehrl, Institute of Organization, Munich, Germany, Circa 1996 (20 pp)	
	.	"TradeWeb Launches First Multi-Dealer Electronic Trading System for Fixed-Income Securities" EDI Update International The Global Review of Electronic Trade, October 1997, Volume IX, No. 11 (1 pg)	
0A	.	"What Corporate Traders Could Learn from Munis", Bloomberg, September 1993 (pages 16-17)	

Examiner Signature		Date Considered	2/16/07
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